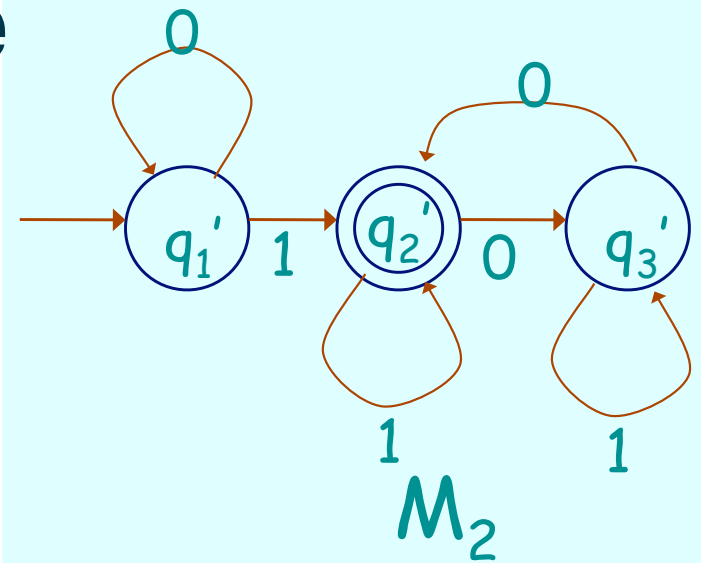
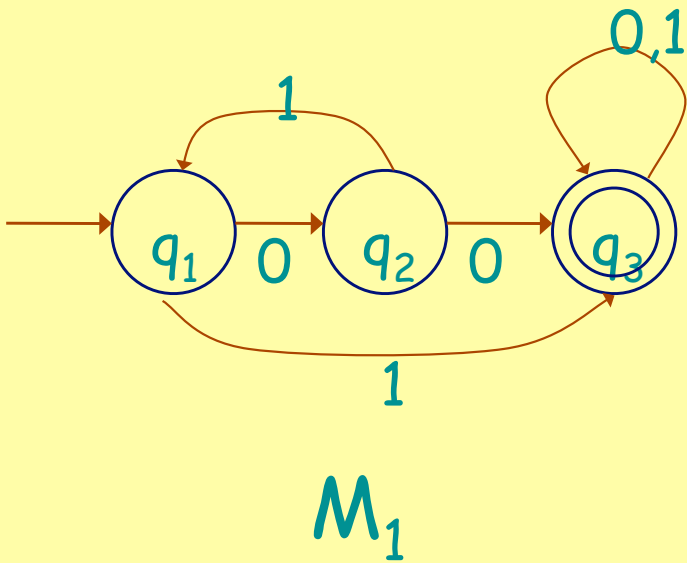


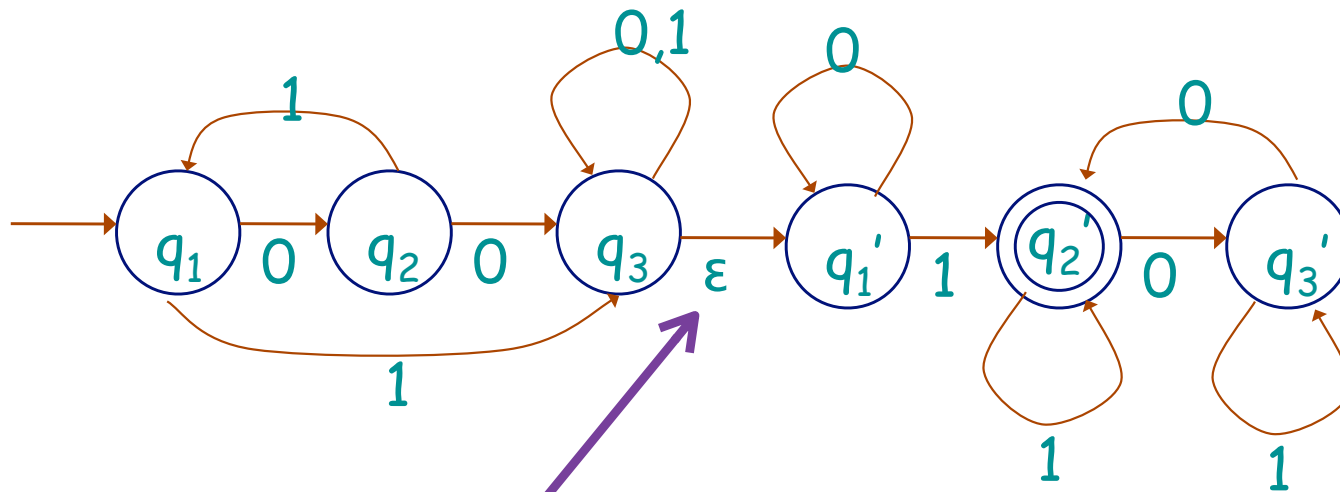
# **Introduction to the Theory of Computation**

## **Set 3 — Regular Languages (2)**

# Example



Find  $M$  such that  $L(M) = L(M_1) \cdot L(M_2)$



Nondeterministic Finite Automaton (NFA)

# DFA vs. NFA

Deterministic FA

Nondeterministic FA

DFA

NFA

DFA	NFA

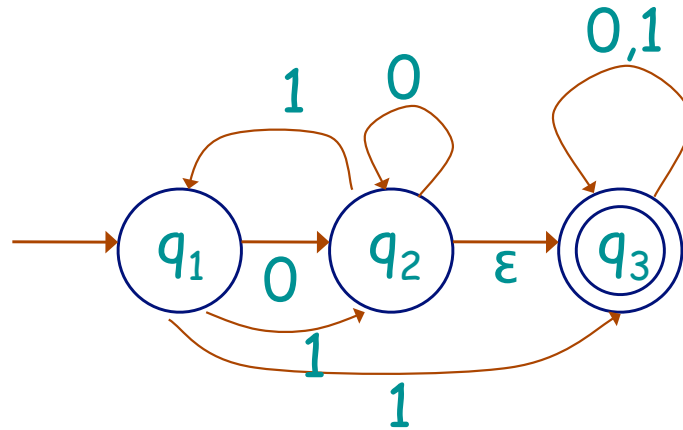
# Computing on an NFA

For each symbol of the string, keep track of **all possible transitions** in parallel

When input ends, there may be several possible ending states

- If **at least one** of the possibilities is an accepting state, then the NFA accepts the string

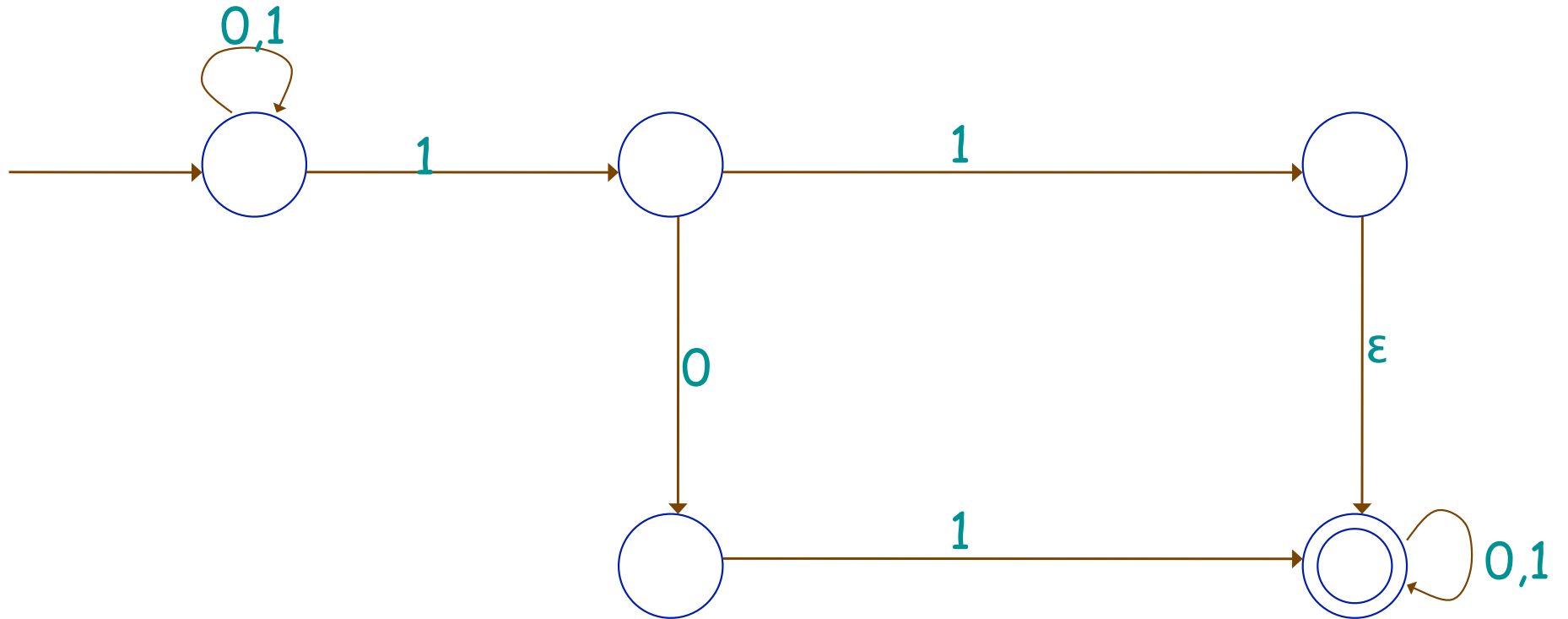
# Example



**Does this NFA accept the string 001?**

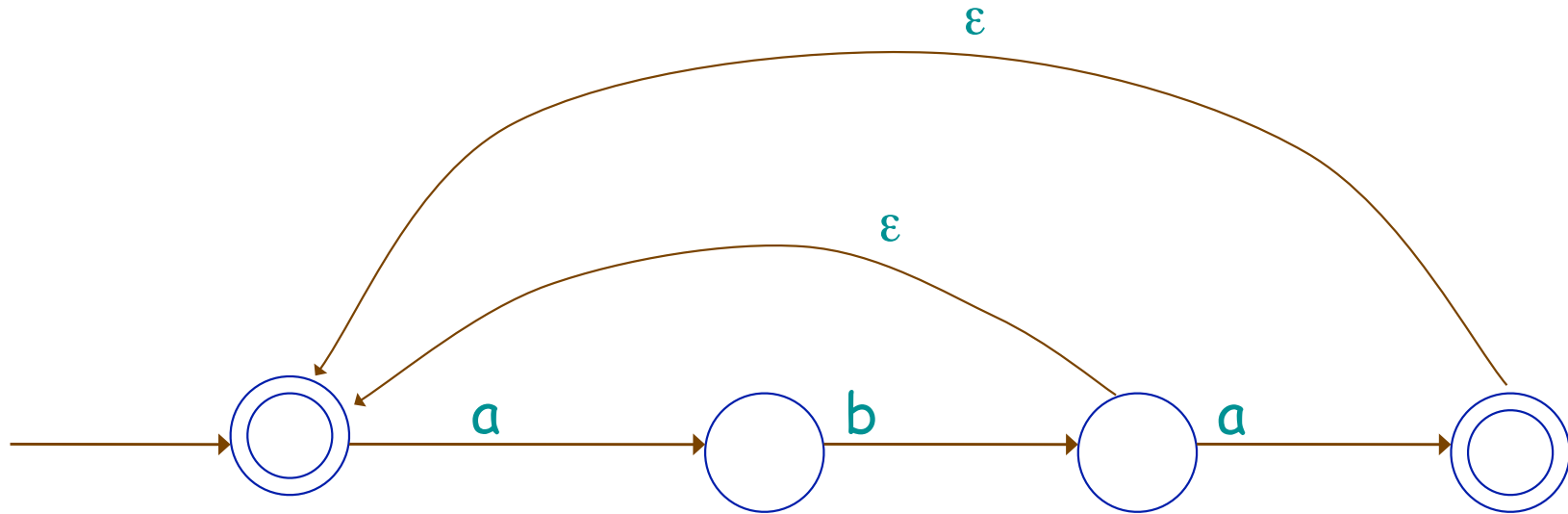
From state(s)	Input	To state(s)

# Example



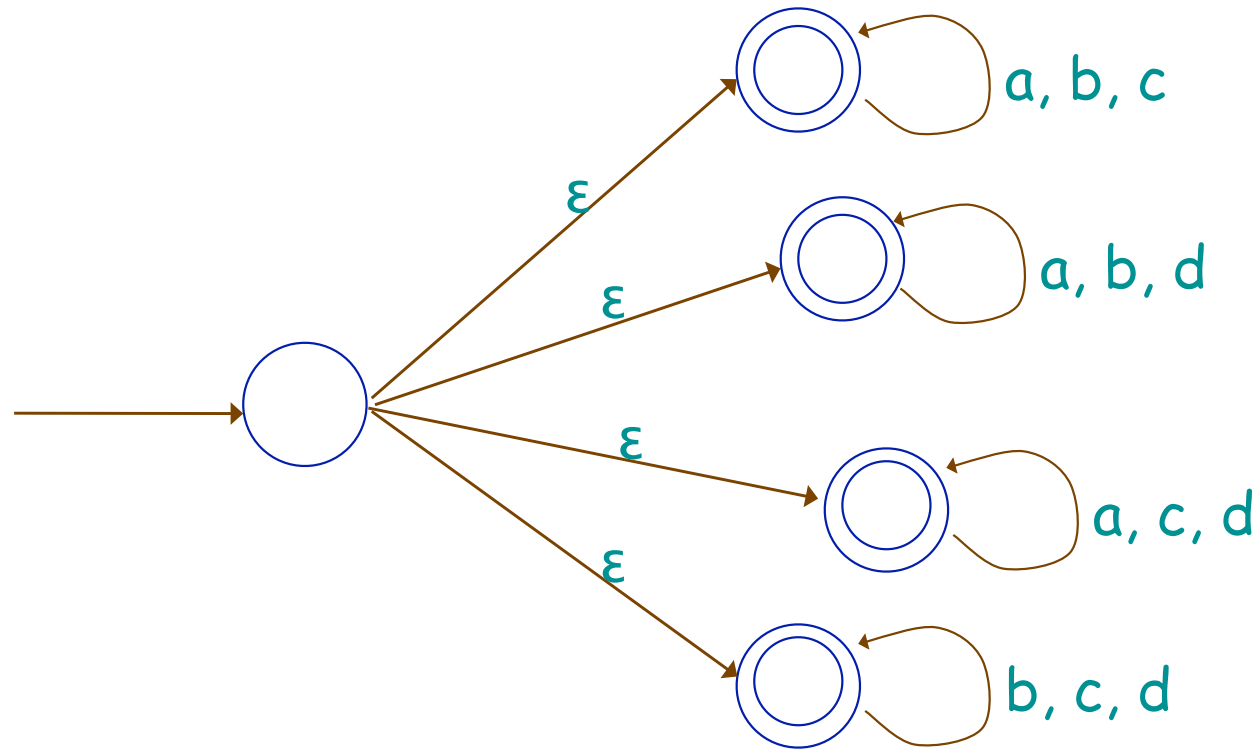
Any string containing two 1's separated by at most one 0

# Example



Zero or more concatenations of the strings  
aba and ab

# Example

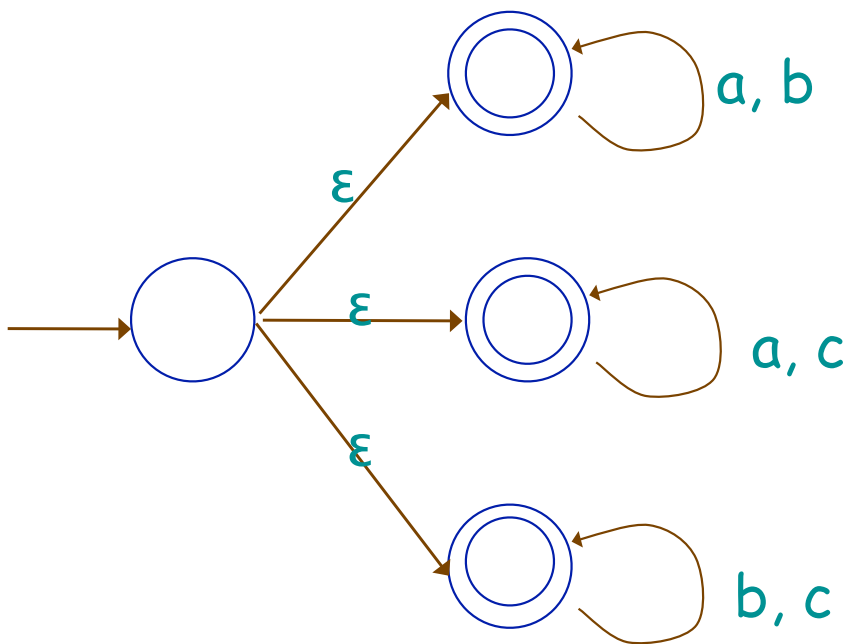


Strings of any length containing at most three of the symbols in  $\Sigma = \{a, b, c, d\}$

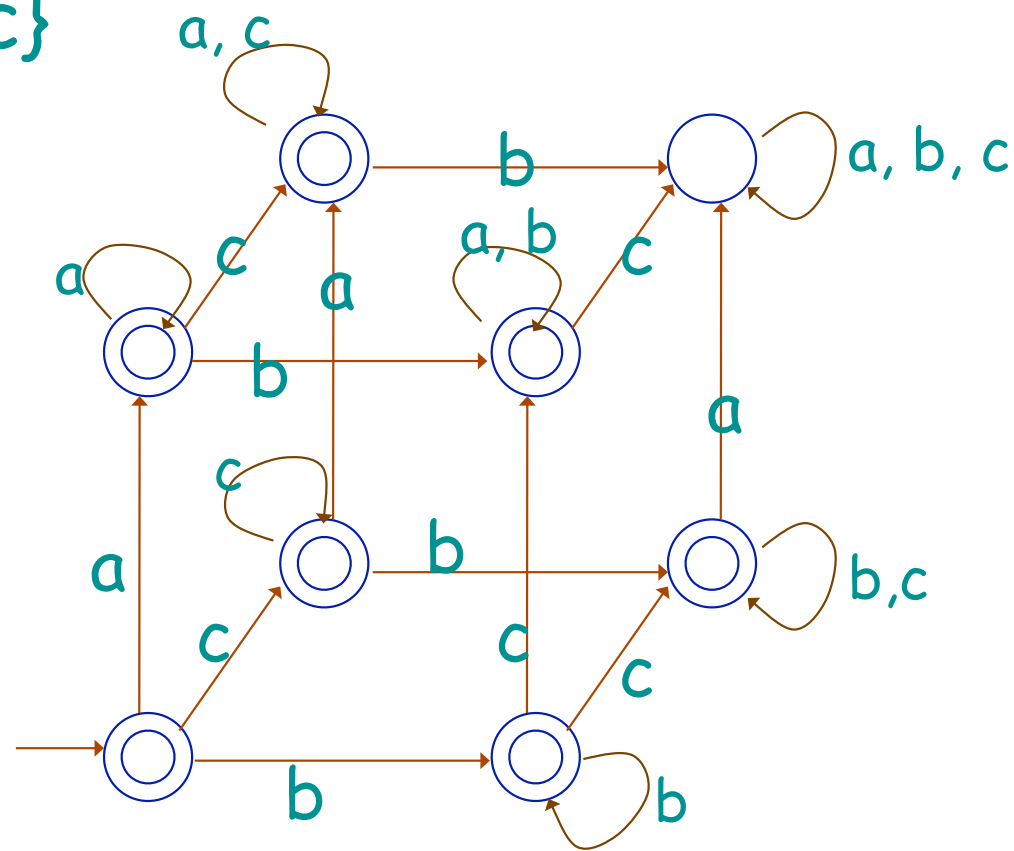


# The Utility of Non-Determinism

Strings of any length containing at most two of the symbols in  $\Sigma = \{a, b, c\}$



**NFA**



**DFA**

# Nondeterministic Finite Automaton (NFA)

*[Formal Definition]*

**A nondeterministic finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where**

**$Q$  is a finite set of states**

**$\Sigma$  is a (finite) alphabet**

**$\delta : Q \times \Sigma_{\epsilon} \rightarrow \mathcal{P}(Q)$  is the transition function**

**$\delta$  maps to sets of states**

**$q_0$  is the start state, and**

**$F \subseteq Q$  is the set of accept states**

# Equivalence of DFAs and NFAs

**Theorem:** Every nondeterministic finite automaton has an equivalent deterministic finite automaton

- Both FAs accept the same language

## Proof method

- Construction
- Similar to method used for recognizing strings
  - Follow all paths in parallel where states represent parallel paths

# Proof Idea

**Given NFA  $M_1=(Q,\Sigma,\delta,q_0,F)$  construct DFA  $M_2=(Q',\Sigma,\delta',q_0',F')$  with  $L(M_1)=L(M_2)$**

## Intuition

- Recall  $\delta:Q\times\Sigma_\varepsilon\rightarrow\mathcal{P}(Q)$
- Our DFA's transition function will generate paths within  $\mathcal{P}(Q)$

$$\delta': \mathcal{P}(Q)\times\Sigma\rightarrow\mathcal{P}(Q)$$

# Defining $M_2$

Determine  $Q'$ ,  $q_0'$ , and  $F'$

- $Q' = \mathcal{P}(Q)$
- $q_0' = \{q_0\}$
- $F' = \{R \in Q' \mid R \cap F \neq \emptyset\}$

$R$  contains at least one of  $M_1$ 's accept states

Defining  $\delta'$

$$\delta'(R, a) = \bigcup_{r \in R} \delta(r, a)$$

(ignoring  $\varepsilon$  jumps for now)

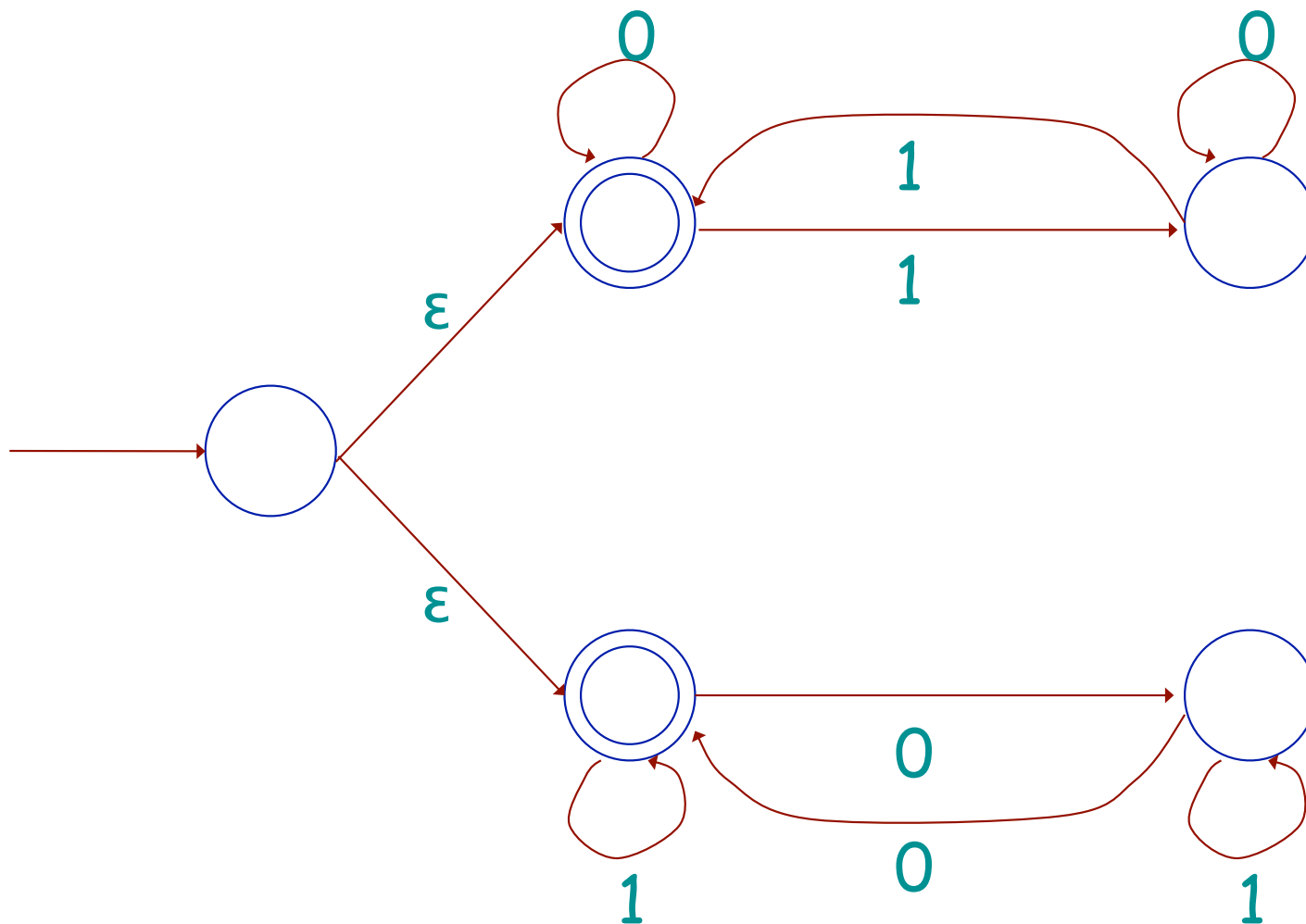
# Collaborative Exercise

## For each NFA

- Informally describe the behavior of the NFA
- Construct a DFA accepting the same language

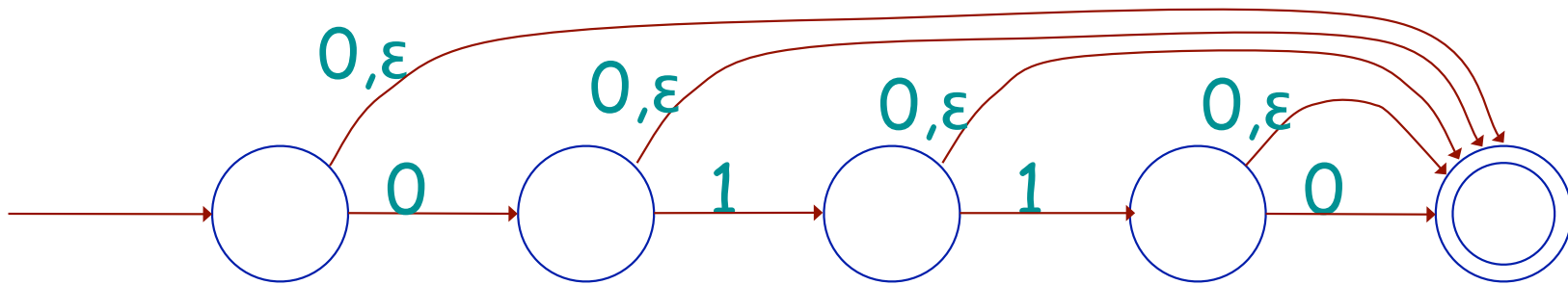
# NFA 1

$\Sigma = \{0,1\}$



# NFA 2

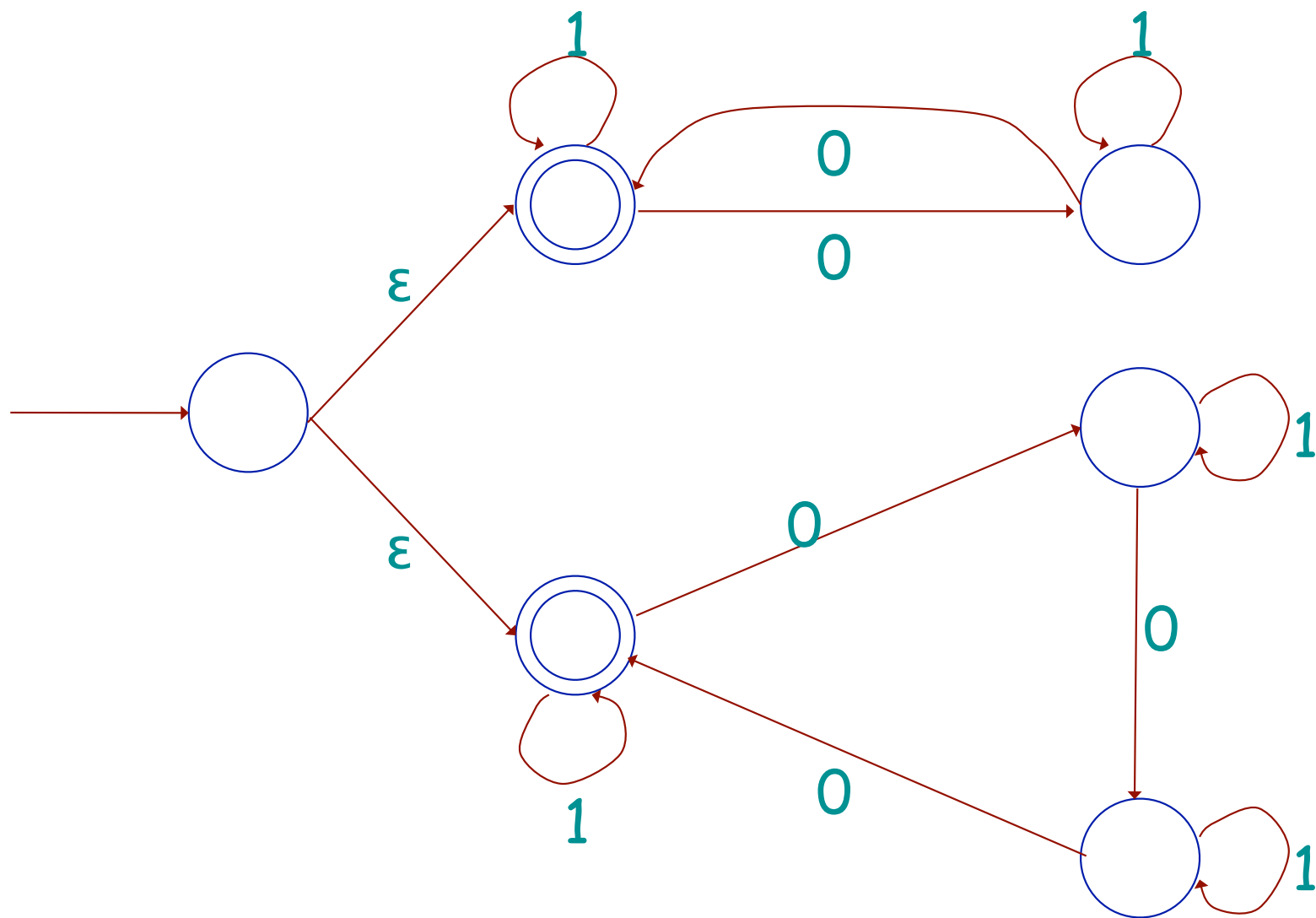
$\Sigma = \{0,1\}$





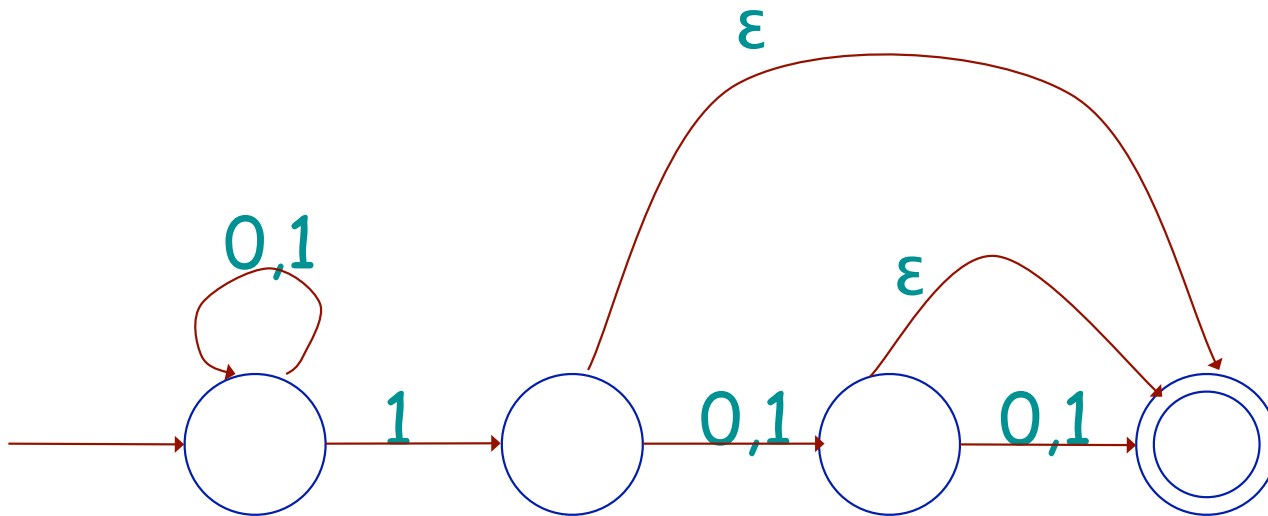
# NFA 3

$\Sigma = \{0,1\}$



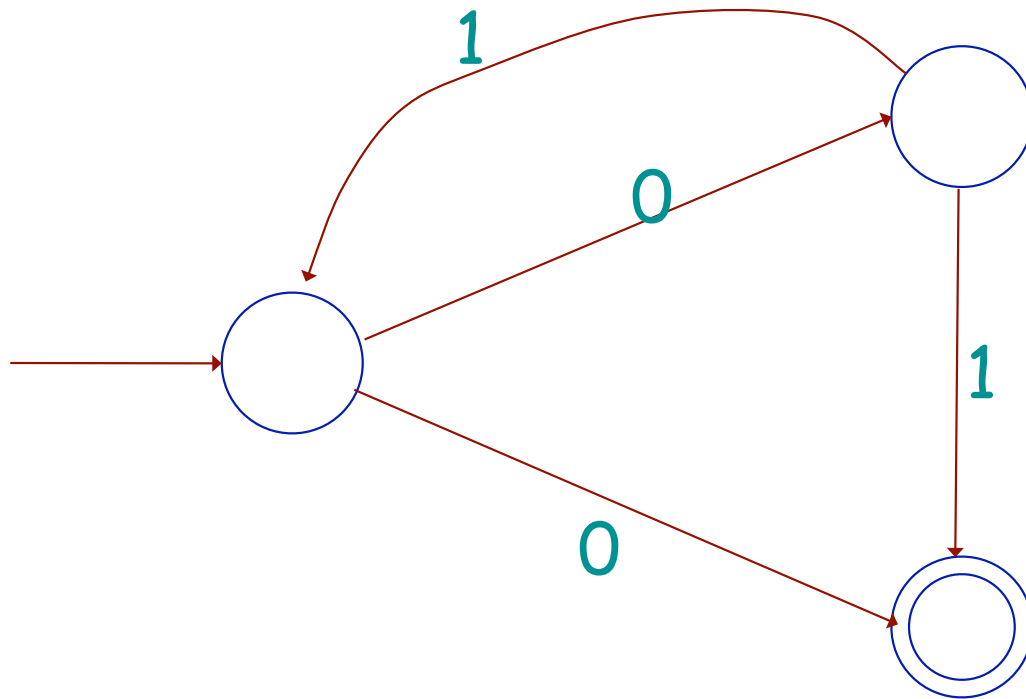
# NFA 4

$\Sigma = \{0,1\}$



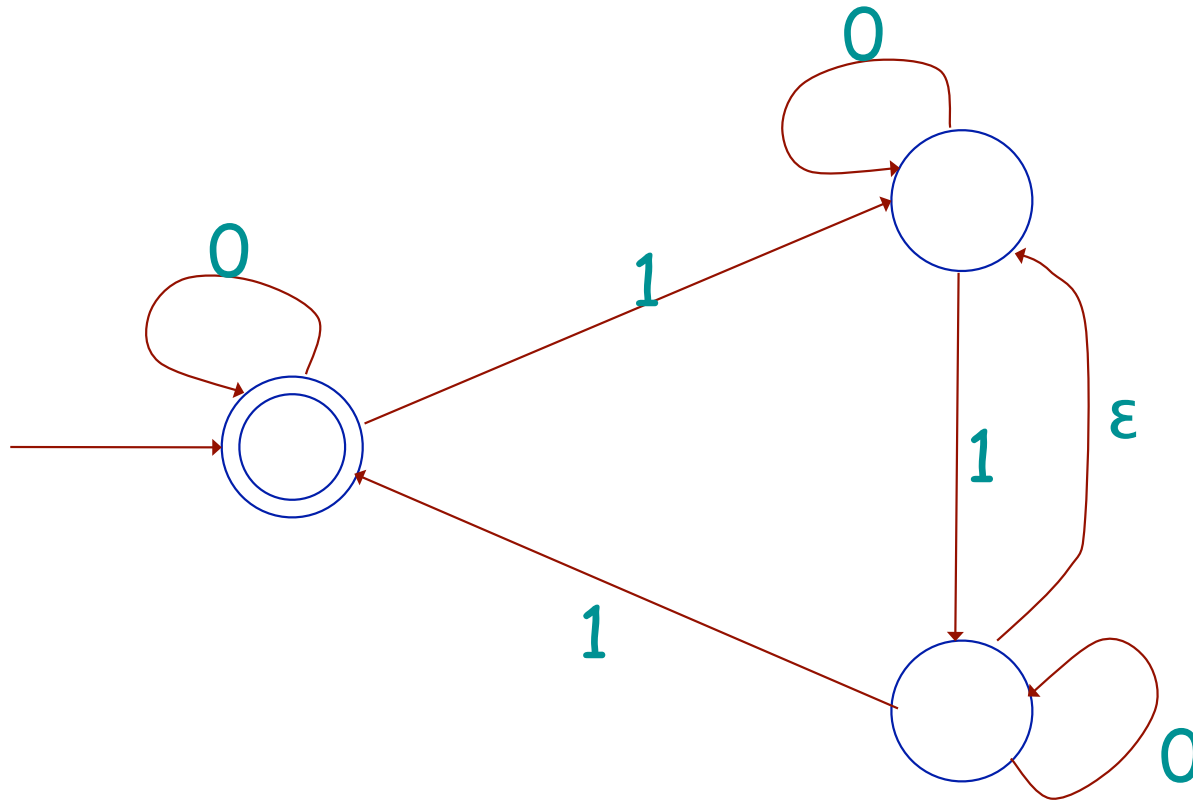
# NFA 5

$\Sigma = \{0,1\}$



# NFA 6

$\Sigma = \{0,1\}$



# Closure of NFA's Under Regular Operations

Recall the following are the regular operators

- Union
- Concatenation
- Kleene star

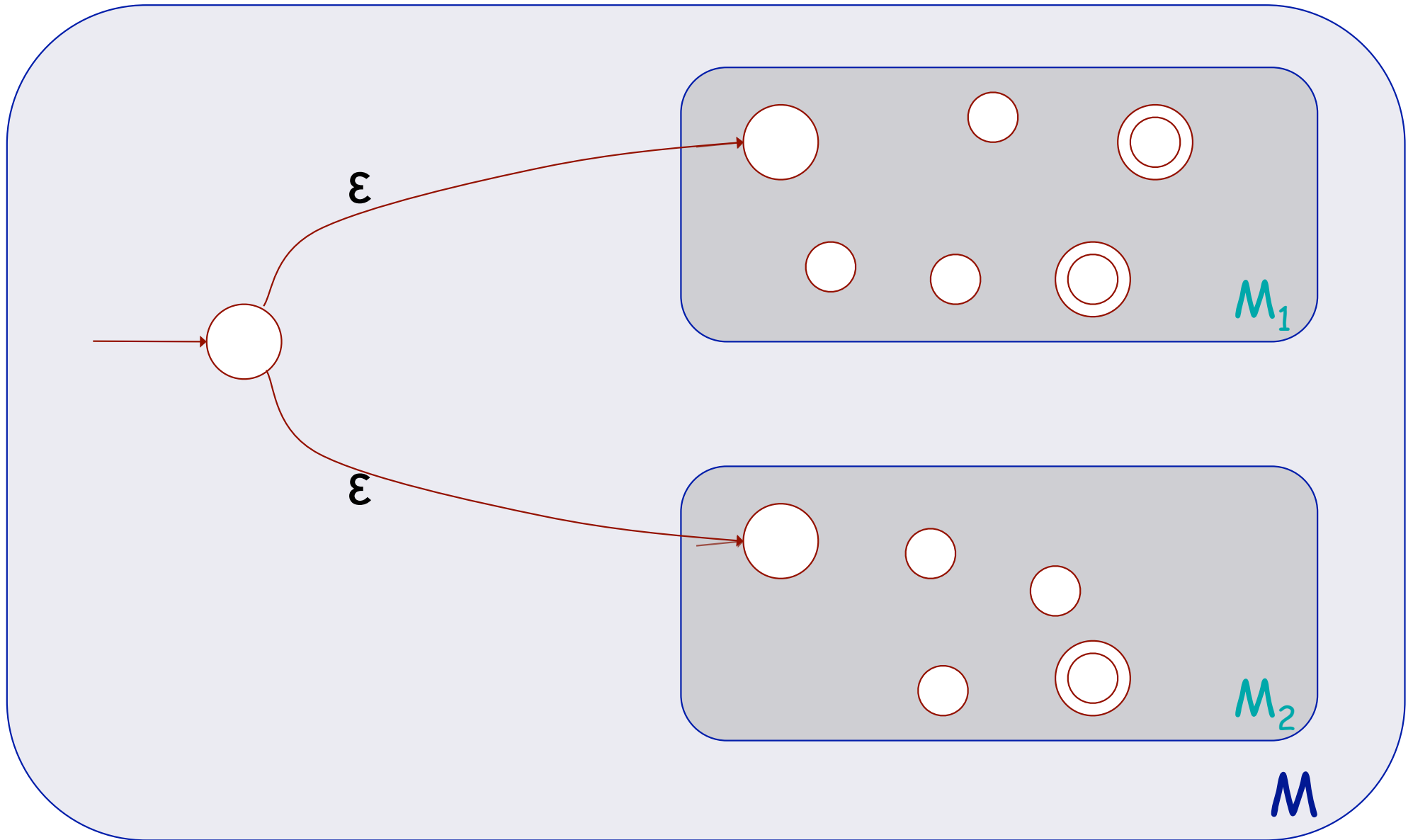
# Union is a Regular Operation

**Theorem:** The class of regular languages is closed under the union operation

**Proof approach:** Assume  $A_1$  and  $A_2$  are both regular languages with  $A_1=L(M_1)$  and  $A_2=L(M_2)$  then create an NFA  $M$  such that  $L(M) = A_1 \cup A_2$

**Method:** Proof by construction

# Construct $M$ from $M_1$ and $M_2$



# Concatenation is a regular operation

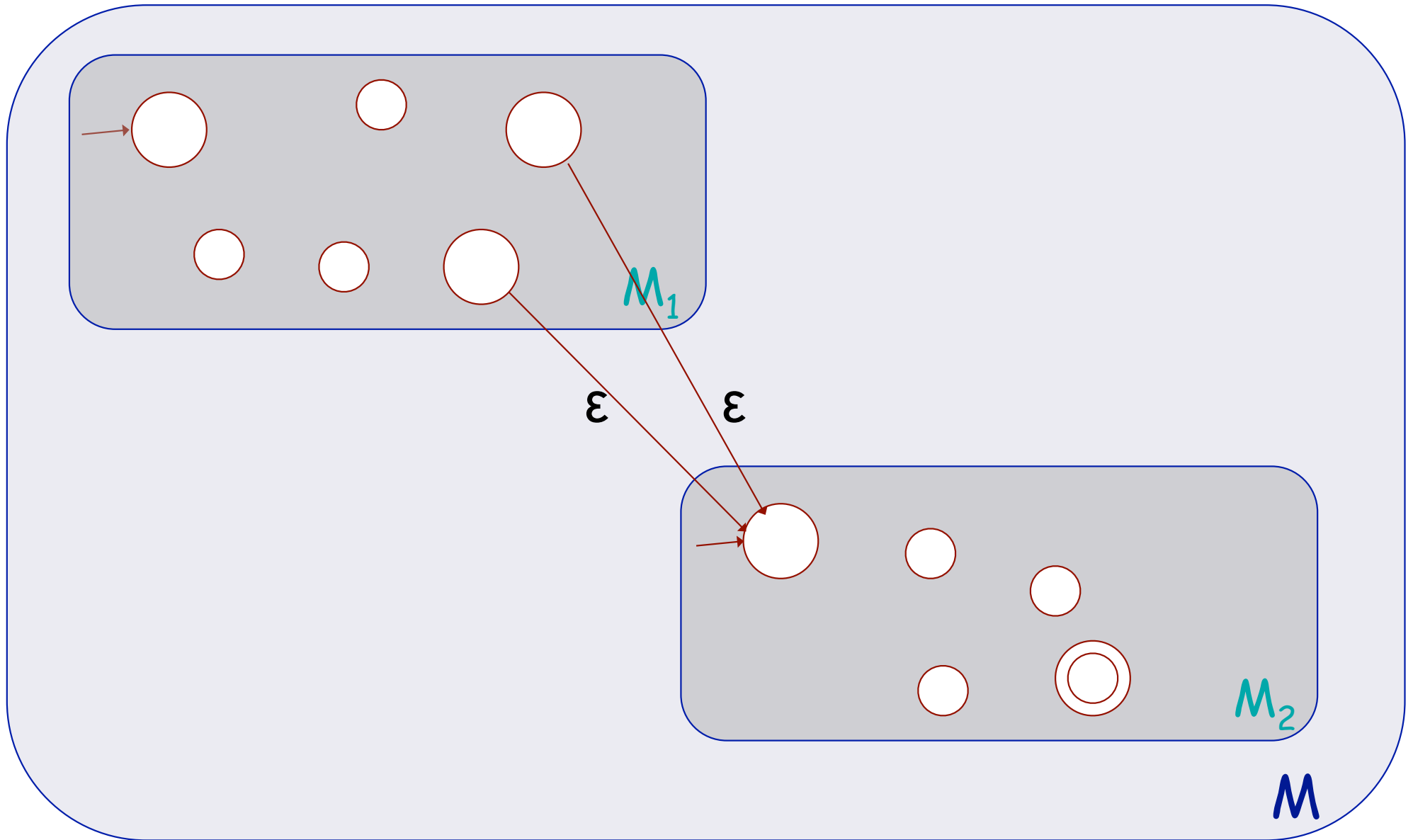
**Theorem:** The class of regular languages is **closed** under the **concatenation** operation

**Proof approach:** Assume  $A_1$  and  $A_2$  are both regular languages with  $A_1=L(M_1)$  and  $A_2=L(M_2)$  then create an NFA  $M$  such that  $L(M) = A_1 \cdot A_2$

**Method:** Proof by construction



# Construct $M$ from $M_1$ and $M_2$



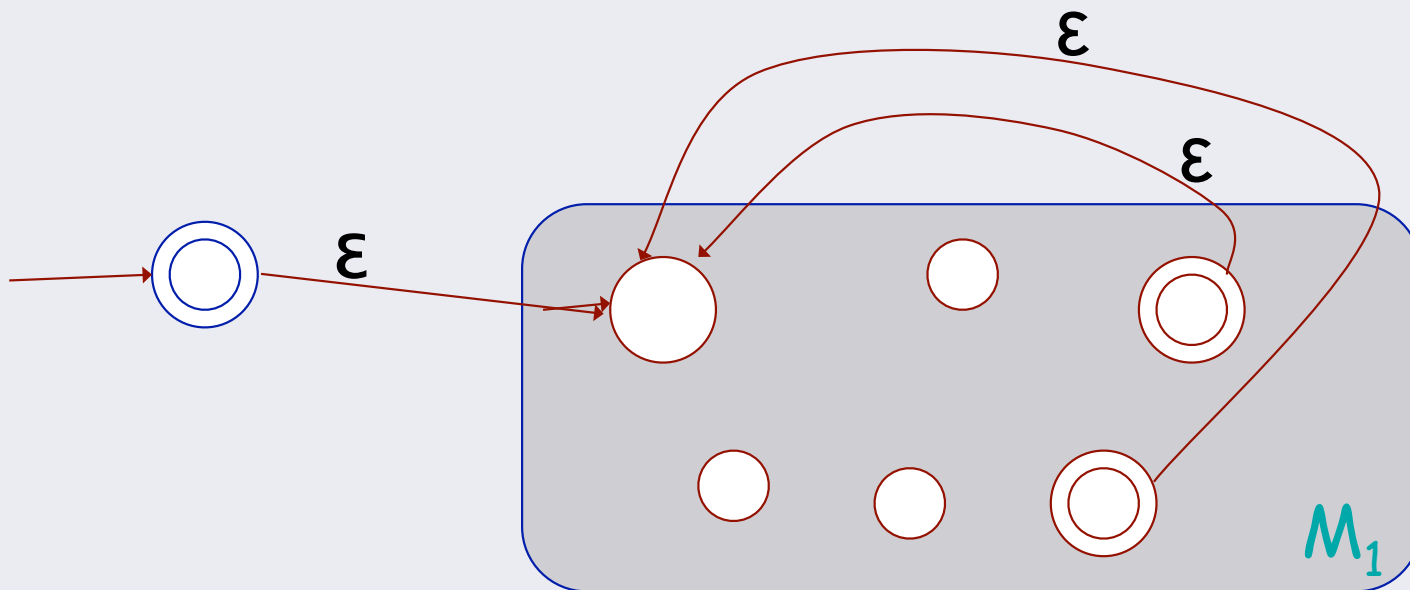
# Kleene Star Is a Regular Operation

**Theorem:** The class of regular languages is **closed** under the **Kleene star** operation

**Proof approach:** Assume  $A_1$  is a regular language with  $A_1 = L(M_1)$  and create an NFA  $M$  such that  $L(M) = A_1^*$

**Method:** Proof by construction

# Construct $M$ from $M_1$



$M$

# Regular Languages

**So far we have had to describe languages either with finite automata or with words**

- **Potentially clumsy or imprecise**

**Two other formal expressions that describe regular languages**

- **Regular Grammars**
- **Regular Expressions**

# Formal Grammars

Formal grammars contain a set of **production rules** for strings in a language.

The rules describe how to form valid strings under the language's alphabet.

*Example:*  $\{S \rightarrow abA, S \rightarrow a, A \rightarrow aA, A \rightarrow b\}$

# Formal Grammars

A formal grammar includes a set of **rules** for rewriting strings and a specified **start symbol** from which rewriting starts.

The set of **variables**, also known as **non-terminals**, will be written using capital letters to be consistent with JFLAP.

One of the variables is distinguished as the ***start symbol***.

The members of the **alphabet  $\Sigma$**  are also known as **terminals**.

# Formal Grammars

*Example:*

$\Sigma = \{a, b\}$  (a.k.a *terminals*)

*variables* =  $\{S, A, B\}$  (a.k.a *non-terminals*)

*start symbol* =  $S$

*rules* =  $\{S \rightarrow abA, S \rightarrow a, A \rightarrow aA, A \rightarrow b\}$

*A derivation of the string abaab:*

$S \rightarrow abA \rightarrow abaA \rightarrow abaaA \rightarrow abaab$

# Regular Grammars

**Regular grammars are another representation of regular languages.**

- They are equivalent in power to DFAs and NFAs.

**A right-linear grammar is a type of regular grammar.**

**In a right-linear grammar all rules must have at most one variable in the right-hand side and that variable must be to the right of any terminals.**



# Regular Grammars

In a right-linear grammar all rules must have at most one variable in the right-hand side and that variable must be to the right of any terminals.

*Example:*

- $\Sigma = \{0, 1\}$
- variables* =  $\{S, X, Y\}$
- start symbol* =  $S$
- rules* =  $\{S \rightarrow 0X,$   
 $S \rightarrow 1X,$   
 $S \rightarrow 0Y,$   
 $X \rightarrow 01Y,$   
 $Y \rightarrow 1X,$   
 $Y \rightarrow \lambda \}$

# Regular Expressions (RE's)

Thus far we have described languages using finite automata, English words, and regular grammars

There is another precise and parsimonious expression to describe regular languages

- **Example:** All strings with at least one 1 becomes  $\Sigma^* \cdot \{1\} \cdot \Sigma^*$ , or more simply  $\Sigma^*1\Sigma^*$

# Where have you seen RE's?

## Filename matching

ls \*.txt      rm data1??.bak

Grep, Awk, Sed, ...

Search expressions within text editors

Perl, Java, C++, ...

# RE Inductive Definition

**R is a regular expression if R is**

- 1.  $a$  for some  $a \in \Sigma$**
- 2.  $\varepsilon$**
- 3.  $\emptyset$**
- 4.  $R_1 \cup R_2$  where  $R_1$  and  $R_2$  are both regular expressions**
- 5.  $R_1 \cdot R_2$  where  $R_1$  and  $R_2$  are both regular expressions (written  $R_1 R_2$ )**
- 6.  $(R_1^*)$  where  $R_1$  is a regular expression**

*Convention:  
Abuse of notation.  
These should be sets!*

# RE Examples

$\Sigma = \{0,1\}$

When appearing in regular expressions, union is often read as “or”.

$$(a \cup b) \equiv \text{“a or b”}$$

JFLAP uses the plus symbol for union

$$aba(b \cup a)b \equiv aba(b+a)b$$

# RE's and Regular Languages

**Theorem: A language is regular if and only if some regular expression describes it.**

**Every regular expression has a corresponding DFA and vice versa.**

# RE's and Regular Languages

## **Lemma:**

**If a language is described by a regular expression, then it is regular.**

- **Find an NFA corresponding to any regular expression**
- **Use inductive definition of RE's**

# 1. $R=a$ for some $a \in \Sigma$



$N = \{\{q_1, q_2\}, \Sigma, \delta, q_1, \{q_2\}\}$

where  $\delta(q_1, a) = \{q_2\}$  and

$\delta(r, x) = \emptyset$  whenever  $r = q_2$  or  $x \neq a$



## 2. $R=\varepsilon$



$$N = \{\{q_1\}, \Sigma, \delta, q_1, \{q_1\}\}$$

where  $\delta(q_1, x) = \emptyset$  for all  $x$

### 3. $R = \emptyset$



$$N = \{\{q_1\}, \Sigma, \delta, q_1, \emptyset\}$$

where  $\delta(q_1, x) = \emptyset$  for all  $x$

# Remaining Constructions

$$R = R_1 \cup R_2$$

$$R = R_1 \cdot R_2$$

$$R = R_1^*$$

**These were all shown to be regular operators**

- **We know we can construct NFA's for R provided they exist for  $R_1$  and  $R_2$**

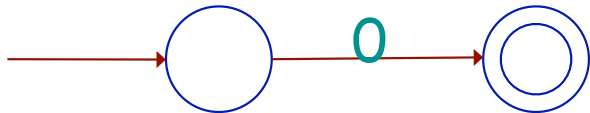
# Example 1

$\Sigma = \{0,1\}$

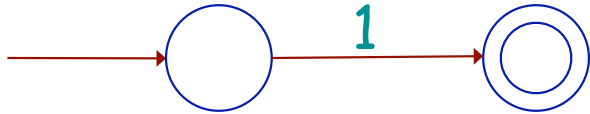
$R = \Sigma^1$

$R = (0 \cup 1)1$

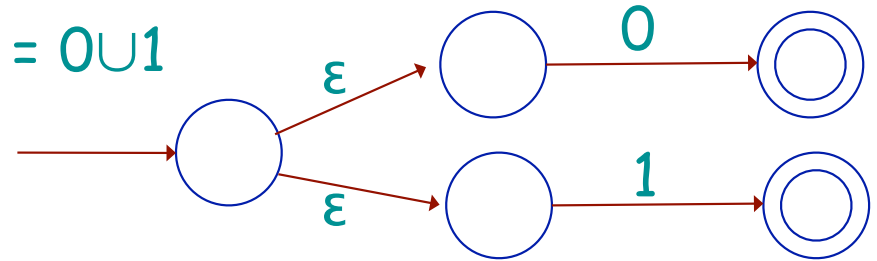
$R_1 = 0$



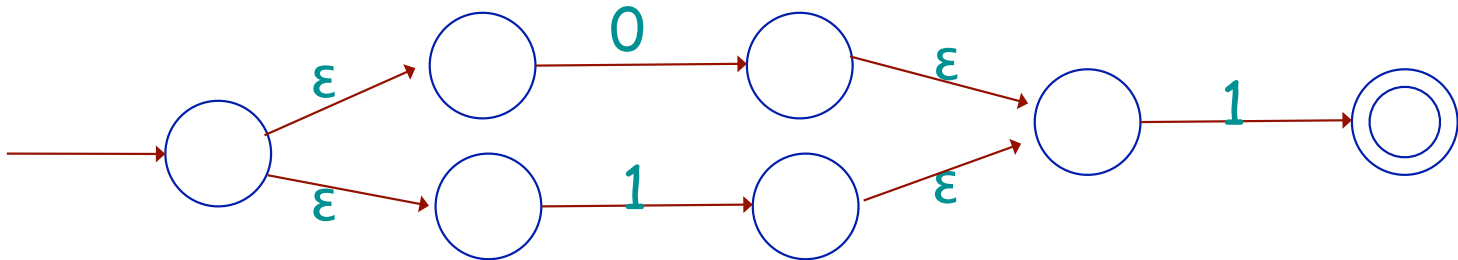
$R_2 = 1$



$R_3 = 0 \cup 1$



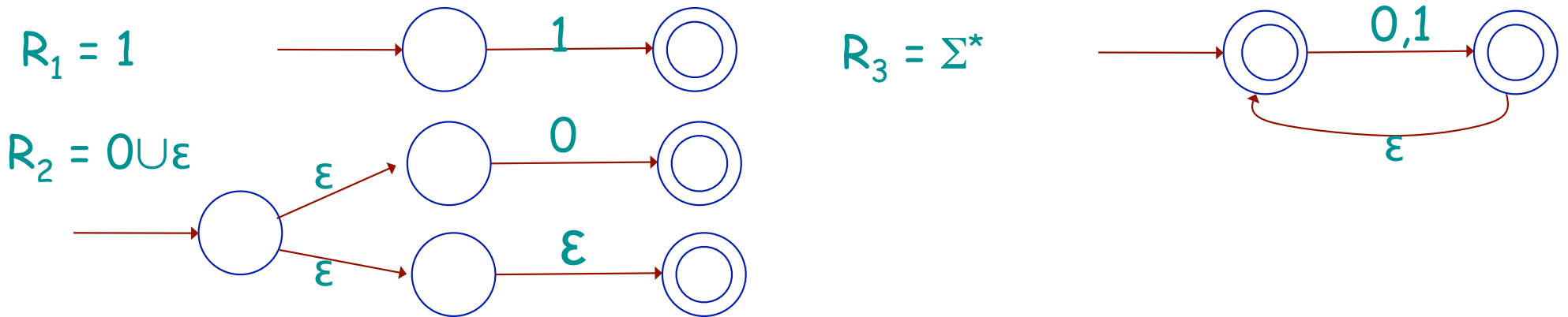
$R = \Sigma^1$



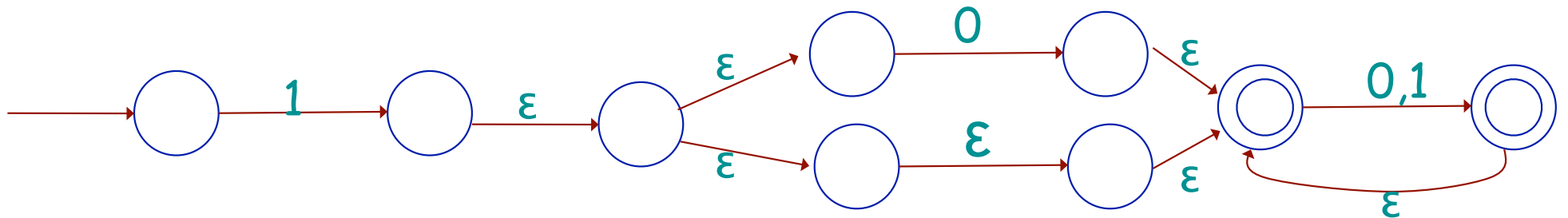
# Example 2

$\Sigma = \{0,1\}$

$$R = 1(0 \cup \epsilon)\Sigma^*$$



$$R = 1(0 \cup \epsilon)\Sigma^*$$



# Equivalence of RE's and DFA's

**We have seen that every RE has a corresponding NFA**

- **Therefore, every RE has a corresponding DFA**
- **Thus every RE describes a regular language**

**We need to show that every regular language can be described by a RE**

**Begin by showing how to convert all DFA's into GNFA's**

- **Generalized Nondeterministic Finite Automata**